



PATENT

3

IN THE UNITED STATES
PATENT AND TRADEMARK OFFICE

APPLICANT(S): Millard E. Sweatt III, et al.
APPLICATION NO.: 09/925,109
FILING DATE: August 8, 2001
TITLE: Method & System for Remote Television Replay Control
EXAMINER: Unknown
GROUP ART UNIT: Unknown
ATTY. DKT. NO.: 22407-05391

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated: Nov. 8, 2001

By: Sze-Hang Lo

Reg. No.: 48,388

BOX MISSING PARTS
COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING
PARTS OF APPLICATION**

SIR:

Responsive to Notice to File Missing Parts dated September 13, 2001, received in the above-identified patent application,

☒ Enclosed are:

- ☒ a copy of the Notice to File Missing Parts;
- ☒ an original, signed Declaration by Millard E. Sweatt III and Don Woodward;
- ☒ an original, signed Power of Attorney by Millard E. Sweatt III and Don Woodward;
- ☒ an Application Data Sheet;
- ☒ Letter to Chief Draftsperson & 34 sheets of formal drawings; and

☒ payment in the amount of \$ 130 for the missing parts surcharge

☐ Other

☐ Applicant claims small entity status under 37 C.F.R. § 1.27.

Respectfully submitted,
MILLARD E. SWEATT III, ET AL.

Dated: Nov. 9, 2001

By: 

Sze-Hang Lo, Reg. No.: 48,388
Fenwick & West LLP
Two Palo Alto Square
Palo Alto, CA 94306
Tel.: (415) 875-2368
Fax.: (415) 281-1350

22407/05391/SF/5062445.1



#3

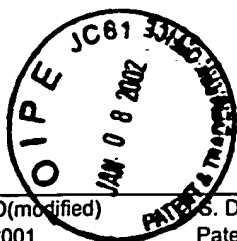
PTO/SB/21 (modified)
Approved for use through xx/xx/xx, OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM <i>(to be used for all correspondence during pendency of filed application)</i>	0001/PTO Rev. 10/95	U.S. Department of Commerce Patent and Trademark Office	Application Number	09/925,109
			Filing Date	August 8, 2001
			First Named Inventor	Millard E. Sweatt III
			Group Art Unit Number	Unknown
			Examiner Name	Unknown
Total Number of Pages in This Submission		49	Attorney Docket Number	22407-05391

ENCLOSURES (check all that apply)	
<input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate) <input checked="" type="checkbox"/> Check Enclosed	<input type="checkbox"/> Issue Fee Transmittal
<input checked="" type="checkbox"/> Return Receipt Postcard	<input checked="" type="checkbox"/> Letter to Chief Draftsperson
<input checked="" type="checkbox"/> Response to Notice to File Missing Parts	<input checked="" type="checkbox"/> Formal Drawing(s): [34] Sheet(s) of Figures [1 - 31]
<input checked="" type="checkbox"/> Power of Attorney	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Declaration	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Small Entity Statement	<input type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Cited References	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Request to Withdraw as Attorneys (in triplicate)	<input checked="" type="checkbox"/> Copy of Formalites Letter
<input type="checkbox"/> Request for Correction of Recorded Assignment	<input checked="" type="checkbox"/> Application Data Sheet
<input type="checkbox"/> Amendment/Response: [] Page(s) <input type="checkbox"/> After Final	<input type="checkbox"/>
<input type="checkbox"/> Status Request	<input type="checkbox"/>
<input type="checkbox"/> Revocation and Power of Attorney	<input type="checkbox"/>
REMARKS:	

SIGNATURE OF ATTORNEY OR AGENT			
Signature:			
Attorney/Reg. No.:	Sze-Hang Lo/Registration No. 48,388	Dated:	Nov. 9, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box MISSING PARTS, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.			
Signature:			
Typed or Printed Name:	Sze-Hang Lo	Dated:	Nov. 9, 2001
Express Mail Mailing Number (optional):			



#3

PTO/SB/17 (10-01)(modified)

OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0002/PTO(modified) Rev. 10/2001	U.S. Department of Commerce Patent and Trademark Office	Complete if Known	
		Application Number	09/925,109
		Filing Date	August 8, 2001
		First Named Inventor	Millard E. Sweatt III
		Group Art Unit	Unknown
		Examiner Name	Unknown
		Attorney Docket Number	22407-05391

FEE TRANSMITTAL

TOTAL AMOUNT OF PAYMENT

Subtotal (1) + Subtotal (2) + Subtotal (3) = **(\$ 130)**

METHOD OF PAYMENT		FEE CALCULATION (continued)																																																																																											
1. The Commissioner is hereby authorized to:		3. ADDITIONAL FEES																																																																																											
<input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account.		<table border="1"><thead><tr><th>Large Entity Fee Code/Fee</th><th>Small Entity Fee Code/Fee</th><th>Fee Description</th><th>Fee Due</th></tr></thead><tbody><tr><td>105/\$130</td><td>205/\$65</td><td>Surcharge - late filing fee or oath</td><td>130</td></tr><tr><td>127/\$50</td><td>227/\$25</td><td>Surcharge-late provisional filing fee or cover sheet</td><td></td></tr><tr><td>147/\$2,520</td><td>147/\$2,520</td><td>For filing a request for reexamination</td><td></td></tr><tr><td>115/\$110</td><td>215/\$55</td><td>Extension for response within first month[†]</td><td></td></tr><tr><td>116/\$400</td><td>216/\$200</td><td>Extension for response within second month[†]</td><td></td></tr><tr><td>117/\$920</td><td>217/\$460</td><td>Extension for response within third month[†]</td><td></td></tr><tr><td>118/\$1,440</td><td>218/\$720</td><td>Extension for response within fourth month[†]</td><td></td></tr><tr><td>128/\$1,960</td><td>228/\$980</td><td>Extension for response within fifth month[†]</td><td></td></tr><tr><td>119/\$320</td><td>219/\$160</td><td>Notice of Appeal</td><td></td></tr><tr><td>141/\$1,280</td><td>241/\$640</td><td>Petition to revive unintentionally abandoned application</td><td></td></tr><tr><td>142/\$1,280</td><td>242/\$640</td><td>Utility Issue Fee (Or Reissue)</td><td></td></tr><tr><td>143/\$460</td><td>243/\$230</td><td>Design Issue Fee</td><td></td></tr><tr><td>122/\$130</td><td>122/\$130</td><td>Petitions to the Commissioner</td><td></td></tr><tr><td>126/\$180</td><td>126/\$180</td><td>Submission of Information Disclosure Statement</td><td></td></tr><tr><td>179/\$740</td><td>279/\$370</td><td>Request for Continued Examination (RCE)</td><td></td></tr><tr><td>581/\$40</td><td>581/\$40</td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr><tr><td>146/\$740</td><td>246/\$370</td><td>Filing a submission after final rejection (37 CFR 1.129(a))</td><td></td></tr><tr><td>149/\$740</td><td>249/\$370</td><td>For each additional invention to be examined (37 CFR 1.129(b))</td><td></td></tr><tr><td colspan="2">Other fee (specify):</td><td></td><td></td></tr><tr><td colspan="2">Other fee (specify):</td><td></td><td></td></tr><tr><td colspan="2">SUBTOTAL (3)</td><td colspan="2">(\$ 130)</td></tr></tbody></table>		Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due	105/\$130	205/\$65	Surcharge - late filing fee or oath	130	127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet		147/\$2,520	147/\$2,520	For filing a request for reexamination		115/\$110	215/\$55	Extension for response within first month [†]		116/\$400	216/\$200	Extension for response within second month [†]		117/\$920	217/\$460	Extension for response within third month [†]		118/\$1,440	218/\$720	Extension for response within fourth month [†]		128/\$1,960	228/\$980	Extension for response within fifth month [†]		119/\$320	219/\$160	Notice of Appeal		141/\$1,280	241/\$640	Petition to revive unintentionally abandoned application		142/\$1,280	242/\$640	Utility Issue Fee (Or Reissue)		143/\$460	243/\$230	Design Issue Fee		122/\$130	122/\$130	Petitions to the Commissioner		126/\$180	126/\$180	Submission of Information Disclosure Statement		179/\$740	279/\$370	Request for Continued Examination (RCE)		581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)		146/\$740	246/\$370	Filing a submission after final rejection (37 CFR 1.129(a))		149/\$740	249/\$370	For each additional invention to be examined (37 CFR 1.129(b))		Other fee (specify):				Other fee (specify):				SUBTOTAL (3)		(\$ 130)		2. CLAIMS	
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due																																																																																										
105/\$130	205/\$65	Surcharge - late filing fee or oath	130																																																																																										
127/\$50	227/\$25	Surcharge-late provisional filing fee or cover sheet																																																																																											
147/\$2,520	147/\$2,520	For filing a request for reexamination																																																																																											
115/\$110	215/\$55	Extension for response within first month [†]																																																																																											
116/\$400	216/\$200	Extension for response within second month [†]																																																																																											
117/\$920	217/\$460	Extension for response within third month [†]																																																																																											
118/\$1,440	218/\$720	Extension for response within fourth month [†]																																																																																											
128/\$1,960	228/\$980	Extension for response within fifth month [†]																																																																																											
119/\$320	219/\$160	Notice of Appeal																																																																																											
141/\$1,280	241/\$640	Petition to revive unintentionally abandoned application																																																																																											
142/\$1,280	242/\$640	Utility Issue Fee (Or Reissue)																																																																																											
143/\$460	243/\$230	Design Issue Fee																																																																																											
122/\$130	122/\$130	Petitions to the Commissioner																																																																																											
126/\$180	126/\$180	Submission of Information Disclosure Statement																																																																																											
179/\$740	279/\$370	Request for Continued Examination (RCE)																																																																																											
581/\$40	581/\$40	Recording each patent assignment per property (times number of properties)																																																																																											
146/\$740	246/\$370	Filing a submission after final rejection (37 CFR 1.129(a))																																																																																											
149/\$740	249/\$370	For each additional invention to be examined (37 CFR 1.129(b))																																																																																											
Other fee (specify):																																																																																													
Other fee (specify):																																																																																													
SUBTOTAL (3)		(\$ 130)																																																																																											
1. FILING FEE		<table border="1"><thead><tr><th>Large Entity Fee Code/Fee</th><th>Small Entity Fee Code/Fee</th><th>Fee Description</th><th>Fee Due</th></tr></thead><tbody><tr><td>101/\$740</td><td>201/\$370</td><td>Utility Filing</td><td></td></tr><tr><td>106/\$330</td><td>206/\$165</td><td>Design Filing</td><td></td></tr><tr><td>108/\$740</td><td>208/\$370</td><td>Reissue</td><td></td></tr><tr><td>114/\$160</td><td>214/\$80</td><td>Provisional Filing</td><td></td></tr><tr><td colspan="2">SUBTOTAL (1)</td><td colspan="2">(\$ 0)</td></tr></tbody></table>		Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due	101/\$740	201/\$370	Utility Filing		106/\$330	206/\$165	Design Filing		108/\$740	208/\$370	Reissue		114/\$160	214/\$80	Provisional Filing		SUBTOTAL (1)		(\$ 0)		<table border="1"><thead><tr><th>Large Entity Fee Code/Fee</th><th>Small Entity Fee Code/Fee</th><th>Fee Description</th></tr></thead><tbody><tr><td>103/\$18</td><td>203/\$9</td><td>Claims in excess of 20</td></tr><tr><td>102/\$84</td><td>202/\$42</td><td>Independent claims in excess of 3</td></tr><tr><td>104/\$280</td><td>204/\$140</td><td>Multiple dependent claim</td></tr><tr><td>109/\$84</td><td>209/\$42</td><td>Reissue independent claims over original patent</td></tr><tr><td>110/\$18</td><td>210/\$9</td><td>Reissue claims in excess of 20 and over original patent</td></tr></tbody></table>		Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	103/\$18	203/\$9	Claims in excess of 20	102/\$84	202/\$42	Independent claims in excess of 3	104/\$280	204/\$140	Multiple dependent claim	109/\$84	209/\$42	Reissue independent claims over original patent	110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent																																														
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description	Fee Due																																																																																										
101/\$740	201/\$370	Utility Filing																																																																																											
106/\$330	206/\$165	Design Filing																																																																																											
108/\$740	208/\$370	Reissue																																																																																											
114/\$160	214/\$80	Provisional Filing																																																																																											
SUBTOTAL (1)		(\$ 0)																																																																																											
Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Fee Description																																																																																											
103/\$18	203/\$9	Claims in excess of 20																																																																																											
102/\$84	202/\$42	Independent claims in excess of 3																																																																																											
104/\$280	204/\$140	Multiple dependent claim																																																																																											
109/\$84	209/\$42	Reissue independent claims over original patent																																																																																											
110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent																																																																																											
2. CLAIMS		<table border="1"><thead><tr><th colspan="2">(Col. 1)</th><th colspan="2">(Col. 2)</th><th colspan="2">(Col. 3)</th><th colspan="2">Fee</th><th colspan="2">Fee Due</th></tr><tr><th>For</th><th>No. of Existing Claims</th><th>minus*</th><th>Highest No. Previously Paid For</th><th>=</th><th>Extra**</th><th>x</th><th>18</th><th>=</th><th>0</th></tr></thead><tbody><tr><td>TOTAL</td><td></td><td>minus*</td><td>20 or</td><td>=</td><td>0</td><td>x</td><td>18</td><td>=</td><td>0</td></tr><tr><td>INDEP</td><td></td><td>minus*</td><td>3 or</td><td>=</td><td>0</td><td>x</td><td>84</td><td>=</td><td>0</td></tr><tr><td colspan="10">[] First presentation of multiple dependent claim</td></tr></tbody></table>		(Col. 1)		(Col. 2)		(Col. 3)		Fee		Fee Due		For	No. of Existing Claims	minus*	Highest No. Previously Paid For	=	Extra**	x	18	=	0	TOTAL		minus*	20 or	=	0	x	18	=	0	INDEP		minus*	3 or	=	0	x	84	=	0	[] First presentation of multiple dependent claim																																																	
(Col. 1)		(Col. 2)		(Col. 3)		Fee		Fee Due																																																																																					
For	No. of Existing Claims	minus*	Highest No. Previously Paid For	=	Extra**	x	18	=	0																																																																																				
TOTAL		minus*	20 or	=	0	x	18	=	0																																																																																				
INDEP		minus*	3 or	=	0	x	84	=	0																																																																																				
[] First presentation of multiple dependent claim																																																																																													
		* Subtract the greater number of Col. 2		SUBTOTAL (2)				(\$ 0)																																																																																					
		** If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3																																																																																											

SUBMITTED BY		Complete (if applicable)	
Typed or Printed Name	Sze-Hang Lo	Reg. Number	48,388
Signature		Date	November 9, 2001

[†] Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby